

## APPENDIX C

### Pre-Award, Intermediate, and Post-Delivery Review Checklists

Item	Issue	
<b>1.0</b>	<b>Specification</b>	
1.1	Does the specification contain requirements that make it hard for the supplier to achieve Buy America requirements	
1.2	Does the specification require the supplier to meet the Buy America Act and refer specifically to the relevant sections of Title 49, CFR, Sections 661 and 663	
1.3	Does the specification call for Pre-Award and Post Delivery Audits for Buy America	
1.4	Does the Grantee's/Sponsor's procurement documentation mandate or require an Intermediate Buy America Audit, and is the Audit planned at such a point that it will identify whether or not the procurement is on target but still allow time to take corrective action(s) if there is a risk of failing to comply?	

<b>2.0</b>	<b>Pre Award Audit</b>	
2.1	Is the Grantee's auditor experienced in Buy America Audits?	
2.2	Are there any major assemblies or sub-assemblies identified in the Pre-Award review with Domestic content close to or below 60%?	
2.3	Are there significant sub-assemblies with content close to 60% that are claimed as 100% in the Major Assembly	
2.4	Has the auditor drilled down into the list of vehicle components sufficiently to demonstrate that changes at lower levels will not cause any major sub-assemblies, claimed at 100%, to not comply?	
2.5	Will the vehicle bodies be manufactured in the US, or will they arrive as "knock down" components from abroad, requiring minor assembly work?	
2.6	What inspection services does the Grantee propose?	

<b>3.0</b>	<b>Intermediate Audit</b>	
3.1	Has the Grantee performed an Intermediate Audit?	
3.2	Is the Grantee's auditor experienced in Buy America Audits?	
3.3	Are there major assemblies with Domestic content close to or below 60%?	
3.4	Are there significant sub-assemblies with content close to 60% that are claimed as 100% in the Major Assembly?	
3.5	Has the auditor drilled down sufficiently to demonstrate that changes at lower levels will not cause any major sub-assemblies, claimed at 100%, to not comply?	
3.6	Are the vehicle bodies manufactured in the US, or do they arrive as "knock down" components from abroad, requiring minor assembly work?	
3.7	Does the Grantee have on-site inspection?	
3.8	Does the Grantee's inspection coverage include major sub-suppliers?	
3.9	If the Grantee did not perform an Intermediate Audit:	
3.10	Have there been any substantive changes in sourcing since the Pre-Award audit	
3.11	Do any of the changes impact Major Assemblies with close to or below 60% domestic content?	

<b>4.0</b>	<b>Post Delivery Audit</b>	
4.1	Is the Grantee’s auditor experienced in Buy America Audits?	
4.2	Did the Grantee/Sponsor obtain and retain Pre-Award Buy America certifications from successful suppliers for purchases of more than \$100,000?	
4.3	Did the Grantee/Sponsor conduct Pre-Award and Post-Delivery audits for its purchase of rolling stock over \$100,000? Does the Grantee/Sponsor have properly completed certifications for each review in its contract files?	
4.4	If the Grantee/Sponsor is purchasing rolling stock with multiple delivery dates, using options, or multi-year procurements, and, if so, has the Grantee/Sponsor performed and certified Pre-Award and Post-Award audit for each group of vehicles, before placing them into revenue service?	
4.5	Has the Grantee/Sponsor requested and/or received a waiver for any part of its purchase of vehicles? Does the Grantee/Sponsor have the FTA approved waiver in its procurement files for PMOC review?	
4.6	Are there major assemblies with Domestic content close to or below 60%?	
4.7	Are there significant sub-assemblies with content close to 60% that are claimed as 100% in the Major Assembly?	
4.8	Has the auditor drilled down sufficiently to demonstrate that changes at lower levels will not cause any major sub-assemblies, claimed at 100%, to not comply?	
4.9	Were the vehicle bodies manufactured in US, or did they arrive as “knock down” components from abroad, requiring minor assembly work?	